IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

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DEC 0 9 2004

Technology Center 2600

Hiroyuki SAITO

Serial No.: 09/616,672

Group Art Unit: 2666

Filed:

July 14, 2000

Examiner:

Duong, Frank

For:

APPARATUS AND METHOD FOR DESIGNING COMMUNICATION

PATHS OF TREE STRUCTURE

Honorable Commissioner of Patents Alexandria, VA 22313-1450

PETITION FOR NEW OFFICE ACTION UNDER 37 C.F.R. 1.181 AND WITHDRAWAL OF HOLDING OF ABANDONMENT

Sir:

In response to the abandonment of the above-identified application, and in accordance with Delgar, Inc., v. Schuyler (172 USPQ 513) (DDC 1971) and MPEP § 711.03(c), page 700-92, second column (Ed. 7, July 1998), Applicant hereby petitions for a new Office Action and for withdrawal of the holding of abandonment.

After a routine file review due to inactivity of this matter, a Status Inquiry and a Change of Address were filed in the Patent Office on November 12, 2004 (Exhibit 1).

A follow-up status search on our newly activated access to the private PAIR system performed on November 23, 2004, indicated that a Notice of Abandonment was allegedly mailed on September 3, 2004, and that the file was abandoned "for Failure to Respond to Office Action." The File History on the PAIR system (Exhibit 2) indicates that a Non-Final Rejection was mailed on November 19, 2003. The File History also reflects a Correspondence Address Change on September 5, 2000. However, Applicant has not

Docket No. NE-1017-USFKM Application Serial No. 09/616,672 MOR.019

received any communication from the U.S. Patent and Trademark Office (PTO) since receipt of the Recordation of Assignment on September 19, 2000.

Accompanying this Petition is the Declaration of Mary C. Gibb, Manager of the Docketing Department in the undersigned's law firm. As set forth in that Declaration, Ms. Gibb, in her capacity as Manager of the Docketing Department, is intimately familiar with all of the details regarding the processing of mail received in the Docketing Department, including correspondence received from the PTO. Attached to the Declaration is supporting documentation (Exhibits A and B) showing that no Office Action in the subject application was processed by the Docketing Department or received by the undersigned's law firm.

Further, attached as Exhibit C to the Declaration of Ms. Gibb is a copy of the front cover of the subject application file jacket maintained by the undersigned's law firm. It should be noted that the file jacket cover shows the chronological sequence of correspondence between the undersigned's law firm and the PTO. As noted on the cover, the application was filed on July 14, 2000, with Declaration. There is no indication of receipt of any Office Action by the undersigned's law firm.

Exhibits A and B are copies of the docket record showing all actions due by the firm of McGinn & Gibb, PLLC, at and around the alleged due date of February 19, 2004, and Exhibit C is the file jacket cover. This evidence is acceptable proof of non-receipt as provided by 1156 O.G. 53.

It is noted that change of address forms for our customer number were filed on October 24, 2000, October 31, 2000, November 13, 2001, and March 27, 2002, copies of which are attached hereto as Exhibits 3-6, as well as copies of two follow-up

Docket No. NE-1017-USKM Application Serial No. 09/616,672 MOR.019

acknowledgments received from the PTO, dated October 25, 2000, and November 16, 2001, respectively (Exhibits 7 and 8).

Further, a 89-page Correspondence Address Indication Form listing applications being handled by this firm and linking our Customer No. 21254 with our current address (8321 Old Courthouse Road, Suite 200, Vienna, VA 22182-3817) was filed in the PTO on December 4, 2003. A copy of the page of said Form which included the above-identified application and a copy of the stamped postcard filing receipt are attached as Exhibit 9.

It is also noted that numerous Change of Address Orders have been filed with the U.S. Postal Service. The most recent Change of Address Order prepared on October 8, 2003 (Exhibit 10), was effective until November 2004, and receipt thereof was confirmed by the Postal Service.

The undersigned has been associated with McGinn & Gibb, PLLC, for a number of years, and in that time has been able to rely on the performance of the Docketing Department to provide conscientious, reliable, and competent notice of due dates for responses to correspondence received from the PTO. Based on the undersigned's familiarity with the good work performed by the employees of the Docketing Department, it is respectfully submitted that the only reasonable conclusion is that no Office Action was ever received by the undersigned's law firm for the above-identified application.

Further, in accordance with MPEP § 707.13, if the Office Action was mailed to our former address and returned to the PTO, the Examiner should have used "every reasonable means to ascertain the correct address and forward the action again." The Examiner could have quickly ascertained the correct address in a number of ways including, but not limited

4

Docket No. NE-1017-US/KM Application Serial No. 09/616,672

MOR.019

to, the associated customer number and the undersigned's registration number. In addition, the inventor and/or assignee were not informed of the returned action.

Pursuant to the above-noted association with Customer No. 21254, numerous changes of address for the customer number, numerous changes of address with the Postal Service, and detailed description of docket record provided in the Declaration of Ms. Gibb and copy of file jacket cover, which are believed to satisfy fully the requirements set forth in MPEP § 711.03(c) and 1156 O.G. 53, and the lack of adherence to MPEP § 707.13, Applicant respectfully requests that a new Office Action be sent in the subject application and that the holding of abandonment be withdrawn.

Since this error is due to the U.S. Patent and Trademark Office, no fee is believed necessary. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Sean M. McGinn

Registration No. 34,386

McGinn & Gibb, PLLC 8321 Old Courthouse Rd., Suite 200 Vienna, Virginia 22182-3817 (703) 761-4100

Customer No. 21254

Serial Number: 091616,672 Papers Filed On: 11/10/04 Attorney's Docket Number: NE-10/7-US/KM Patent Trademark Applicant's Name: SAITO, H. Application Filing Date: 07/14/00 Papers Filed Herewith: Amendment Request for Extension of Time CPA Request Notice of Appeal Appeal Brief (in triplicate) Reply Brief IDS 1449 Form w/ Documents Priority Document(s)
Drawing Corrections Issue Fee Transmittal Missing Parts Other Change of Address: 5tatus Inquiry Fees Filed Herewith: \$ Check Charge Deposit Account:
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Spany Mor. 019 Attorney's Post Card Filing Receipt Papers Filed On: // //o f Attorney's Docket Number: NE-/0/7-US/Km Patent Applicant's Name: SAITO, H. Application Filing Date: 67/14/00 Amendment Request for Extension of Time CPA Request Appeal Brief (in triplicate) Reply Brief Mov 12 2004 Recordation Cover Sheet Formal Drawings Drawing Gorrections Issue Fee Transmittal Missing Parts Other Change of Address: Thatas Inquiry Fees Filed Hasswitt A.
Check Charge Deposit Account: Hand Delivered

Docket No. NE-1017-US/KM (MOR.019)

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In re patent application of

Hiroyuki SAITO

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DEC 0 9 2004

Serial No.: 09/616,672

Group Art Unit: 2731

Technology Center 2600

Filed:

July 14, 2000

Examiner:

Unknown

For:

APPARATUS AND METHOD FOR DESIGNING COMMUNICATION

PATHS OF TREE STRUCTURE

Honorable Commissioner of Patents Alexandria, VA 22313-1450

NOTICE OF CHANGE OF ADDRESS

Sir:

Please be advised that the correspondence address of attorneys of record in the aboveidentified application has been changed to:

> McGinn & Gibb, PLLC 8321 Old Courthouse Road, Suite 200 Vienna, Virginia 22182-3817 (703) 761-4100 Customer No. 21254

Please change the records in regard to the above-identified application accordingly and direct all telephone calls to the number shown above.

Registration No. 34,386

McGinn & Gibb, PLLC

Intellectual Property Law 8321 Old Courthouse Road, Suite 200

Vienna, Virginia 22182-3817

(703) 761-4100

Customer No. 21254



United States Patent and Trademark Office

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PATENT APPLICATION INFORMATION RETRIEVAL



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K	Employee Locator
K	Class/Subclass GAU Information
K	Information Contacts

⊟ Printer	Friendly	Version
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Search results as of: 11-23-2004::16:13

Search results for application number: 09/616,672					
Application Number:	21254				
Filing or 371(c) Date:	07-14-2000	Status:	Abandoned Failure to Respond to an Office Ac		
Application Type:	Utility	Status Date:	09-02-2004		
Examiner Name:	DUONG, FRANK	Location:	FILE REPOSITORY (FRANCONIA)		
Group Art Unit:	2666	Location Date:	11-22-2004		
Confirmation Number:	6637	Earliest Publication No:			
Attorney Docket Number:	NE-1017-US/KM	Earliest Publication Date:			
Class/ Sub-Class:	370/238	Patent Number:	-		
	Hiroyuki Saito, Tokyo, (JP)	Issue Date of Patent:	1-		
Title Of Invention: Apparatus and method for designing communication paths of					

Select Search Option

Assignments

Search

Display References Foreign Priority

	File History
Date	Contents Description
09-17-2004	Correspondence Address Change
09-03-2004	Mail Abandonment for Failure to Respond to Office Action
09-02-2004	Abandonment for Failure to Respond to Office Action
11-19-2003	Mail Non-Final Rejection
11-17-2003	Non-Final Rejection
10-09-2003	Case Docketed to Examiner in GAU
10-02-2003	Case Docketed to Examiner in GAU
03-11-2002	Case Docketed to Examiner in GAU
12-03-2001	Case Docketed to Examiner in GAU
12-03-2001	Case Docketed to Examiner in GAU
10-06-2000	Case Docketed to Examiner in GAU
07-10-2001	Case Docketed to Examiner in GAU
10-06-2000	Case Docketed to Examiner in GAU
07-14-2000	Request for Foreign Priority (Priority Papers May Be Included)
09-18-2000	Case Docketed to Examiner in GAU

09-07-2000	Application Dispatched from OIPE	
09-05-2000	Correspondence Address Change	
08-08-2000	IFW Scan & PACR Auto Security Review	
07-14-2000	Initial Exam Team nn	

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Shim. of
Attorney's Post Card Filing Receipt Serial Number:
Attorney's Docket Number: Patent Trademark
Applicant's Name: Application Filing Date:/_/
Amendment Request for Extension of Time CPA Request
Notice of Appeal
IDS
Assignment Recordation Cover Sheet Formal Drawings
Drawing Corrections Issue Fee Transmittal Missing Parts
Other Request for Custones Number Data Change
Fees Filed Herewith: \$ Check Charge Deposit Account:
Attorney's Post Card Filing Receipt Papers Filed On: 10/24/06 Attorney's Docket Number: Papers Filed On: 10/24/06 Attorney's Docket Number: Papers Filed On: 10/24/06 Attorney's Docket Number: Papers Filed On: 10/24/06 Applicant's Name: Papers Filed Herewith: Papers Filed On: 10/24/06 Amendment Request Receipt Papers Filed On: 10/24/06 Amendment Request Receipt Papers Filed On: 10/24/06 Applicant's Name: Papers Fi
Check Charge Deposit Account:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUBMISSION OF REQUEST FOR CUSTOMER NUMBER CHANGE

Assistant Commissioner for Patents BOX CN Washington, D.C. 20231

Sir:

Submitted herewith is a Request for Customer Number Data Change for Customer Number 21254.

Respectfully submitted,

Sean M. McGinn

Registration No. 34,386

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Please type a plus sign (+) inside this box -> Technology Center 2600 PTO/SB/124A (08-00)
Approved for use through 10/31/2002. OMB 0651-0035
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Request for Customer Number Data Change

Address to:

Assistant Commissioner for Patents Box CN Washington, DC 20231

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	change Corresp	pondence Address to:				06111#	
Firm <i>or</i> Individual Name		& Gibb, PLLC	· · · · · · · · · · · · · · · · · ·	;		سر	, 4
Address	8321 01	d Courthouse	Road				
Address	Suite 2	100					
City	Vienna			State	VA	ZIP	22182
Country	USA						
Telephone	(703) 7	61-4100		Fax	(703) 76	1-23	75 .
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Firm Name	Firm Name (if applicable) McGinn & Gibb, PLLC					···.	
Name of Person Sean M. McGinn submitting request							
Signature		Bay	Tille	环			
Telephone N	Number	(703) 761-4	4100			Date	10/24/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CN, Washington, DC 20231.

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

CHANGE OF ADDRESS

FOR

REGISTERED PATENT ATTORNEYS AND AGENTS

Please use this form to update your correspondence/business and home addresses. The correspondence/business address provided will be the only address posted in the Roster of Agents and Attorneys, unless you indicate otherwise. This address update form must be signed by the attorney or agent and include the registration number.

NAME (last, first, middle name of	r initial)		REGISTRATION N	UMBER (Required)
. Mr. Sean M. McGinn, H	Esq.		34,:	386
Ms.	•		İ	
CORRESPONDENCE / BUSINE	SS NAME (employe	er, corporation, la	w firm, or U.S. Gover	nment agency, etc.)
McGinn & Gibb, PLI	rc.			
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CORRESPONDENCE / BUSINE		et, building, suite	, etc.)	
8321 Old Courthous Suite 200	se Road			
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CITY	STATE	ZIP CODE	CORRESPONDENCE /	BUSINESS TELEPHONE
Vienna	VA	22182	(703) 70	51-4100
HOME ADDRESS				
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This address change is only for the ro			 	

Note: US Government employees must list their agency address as their correspondence address.

If you have any questions regarding your address of record in the Office of Enrollment and Discipline, please call (703) 306-4097

Signature of Registered Attorney or A

TRANSMISSION VERIFICATION REPORT

TIME : 10/24/2000 13:40 NAME : MCGINN AND GIBB PC FAX : 17032946696 TEL : 17032946699

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LAW OFFICES OF McGINN & GIBB, PLLC

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A PROFESSIONAL LIMITED LIABILITY COMPANY
PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELLECTUAL PROPERTY LAW
8321 OLD COURTHOUSE ROAD, SUITE 200
VIENNA, VIRGINIA 22182-3817
TELEPHONE: (703) 761-4100

FACSIMILE/DATA: (703) 761-2375; 761-2376 E-MAIL: MCGINNGIBB @ AOL.COM

SEAN M. MCGINN
FREDERICK W. GIBB, III
PHILLIP E. MILLER*
FREDERICK E. COOPERRIDER*
*(MEMBER OF BAR OTHER THAN VA)

October 31, 2000

VIA FACSIMILE

Commissioner of Patents and Trademarks Box CN Washington, DC 20231

Re:

Address Correction Customer No. 21254

Sir:

Please correct the mailing address for the above-identified customer number as follows:

McGinn & Gibb, PLLC 8321 Old Courthouse Road, Suite 200 Vienna, VA 22182-3817

Respectfully submitted,

Sean M. McGinn, Esq.

Reg. No. 34,386

TRANSMISSION VERIFICATION REPORT

TIME : 10/31/2000 13:20 NAME : MCGINN AND GIBB PC FAX : 17032946696 TEL : 17032946699

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A PROFESSIONAL LIMITED LIABILITY COMPANY
PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELECTUAL PROPERTY LAW
8321 OLD COURTHOUSE RD. SUITE 200
VIENNA, VA 22182-3817
TELEPHONE: (703) 761-4100
FACSIMILE/DATA: (703) 761-2375; 761-2376
E-MAIL: MCGINNGIBB @ AOL.COM

Technology Center 2600

SEAN M. MCGINN
FREDERICK W. GIBB, III
PHILLIP E. MILLERT
FREDERICK E. COOPERRIDERT
MATTHEW G. DYOR
MOHAMMAD S. RAHMAN †
PETER A. BALNAVE, Ph.D.
LAWRENCE A. SCOTT †
CHRISTOPHER M. MCGINN*
† Other Than Virginia Bar Member
* Patent Engineer (Non-attorney)

CONFIRMATION

November 13, 2001

Assistant Commissioner of Patents Box CN, Washington, D.C. 20231

VIA FACSIMILE & HAND DELIVERED

NOTICE OF CHANGE OF ADDRESS

Re:

Third Request

Address Correction Customer No. 21254

Sir:

Further to our previous requests, Please again correct the mailing address for the above-identified customer number as follows:

> McGINN & GIBB, PLLC 8321 OLD COURTHOUSE RD. SUITE 200 VIENNA, VA 22182-3817 (703) 761-4100 Customer No.: 21254

Respectfully submitted,

ean M. McGinn Registration No. 34,386

PTO/SE/124A (66-00)
Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Request for **Customer Number Data Change**

Address to:

Assistant Commissioner for Patents Box CN Washington, DC 20231



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To the Comm	nissioner of Par the following da	tents and Trademarks: ta changes to Customer Number	:		
Type Custome	er Number here	21254		 ▶	021254
X Please	change Correspo	ondence Address to:			MTEN 006111 MMX OFFICE
Firm <i>or</i> Individual Name	McGinn 8	& Gibb, PLLC			RECEIVED DEC 0 9 2004
Address	8321 01	l Courthouse Road			echnology Center 2800
Address	Suite 2	00		<u> </u>	Connology Center 2000
City	Vienna		State	VA	ZIP 22182
Country	USA				
Telephone	(703) 7	61-4100	Fax	(703)	761-2375
		ng practitioner registration number			
	Additional pract	titioner registration numbers are list	ed on sup	piemental sh	neet(s) attached hereto
Request	Submitted	by:			
Firm Name	(if applicable)	McGinn & Gibb, PL	LC		
Name of Person Sean M. McGinn submitting request					
Signature		Seany IMW	(X)	•	
Telephone	Number	(703) 761-4100		1	Date 11/13/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CN, Washington, DC 20231.

TRANSMISSION VERIFICATION REPORT

TIME : 11/13/2001 17:51 NAME : FAX : TEL :

DATE, TIME FAX NO. /NAME DURATION PAGE(S) RESULT MODE

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		Amendment	Request for Extensi	on of Time	CPA Request
		Notice of Appeal	Appeal Brief (in trip	plicate) Re	ply Brief
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DEC 0 9 2004 Technology Center 2600

A PROFESSIONAL LIMITED LIABILITY COMPANY PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELLECTUAL PROPERTY LAW 8321 OLD COURTHOUSE ROAD, SUITE 200 **VIENNA, VA 22182**

TELEPHONE: (703) 761-4100 FACSIMILE/DATA: (703) 761-2375; 2376 E-MAIL: MCGINNGIBB@AOL.COM

SEAN M. MCGINN
FREDRICK W. GIBB, III
PHILLIP E. MILLER†
FREDERICK E. COOPERRIDER†
MOHAMMAD S. RAHMAN †
PETER A. BALNAVE, Ph.D.
LAWRENCE A. SCOTT †
FREDRIC J. ZIMMERMAN †
JAMES E. HOWARD †
CHRISTOPHER M. MCGINN*
† Other Than Virginia Bar Member
* Patent Engineer (Non-attorney)

March 27, 2002

Assistant Commissioner of Patents Box CN, Washington, D.C. 20231

VIA FACSIMILE & HAND DELIVERED

NOTICE OF CHANGE OF ADDRESS

Re:

Fourth Request Address Correction Customer No. 21254

Sir:

Further to our previous requests, Please again correct the mailing address for the above-identified customer number as follows:

> McGINN & GIBB, PLLC 8321 OLD COURTHOUSE RD. **SUITE 200** VIENNA, VA 22182-3817 (703) 761-4100 Customer No.: 21254

Respectfully submitted

Registration No. 34,386

U.S. Patent and Trademark Critics; U.S. Detect I
DEC 0 3 2004

Request for Customer Number Data Change

Address to:

Assistant Commissioner for Patents CEIVE

Box CN Washington, DC 20231 DEC 0 9 2004

Technology Center 2800

To the Commissioner of Patents and Trademarks: Please record the following data changes to Customer Number:							
Type Custome	er Number here	21254] ———		02	125	4
Nease	change Correspo	ondence Address to:			PATEROOF	5111HM	OFFICE
Firm <i>or</i> Individual Name	McGinn & Gibl	b, PLLC					
Address 8321 Old Courthouse Road							
Address Suite 200							
City Vienna State VA ZIP 22182-381						182-3817	
Country USA							
Telephone	(703) 761-4100		Fa	x (703)	761-4100		
Please delete the following practitioner registration number(s) from the Customer Number indicated above						ed above:	
	Additional prac	titioner registration numbers are	listed on s	uppleme	ntal sheet(s) attach	ed hereto
Reques	t Submitted	by:					•
Firm Nam	e (if applicable)	McGinn & Gibb, PLLC					
Name of submitting		Sean M. McGinn	~ -				
Signature		Sacul	the			- -	
Tolophone	Number	(703) 761-4100	•			Date	3/27/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CN, Washington, DC 20231.

TRANSMISSION VERIFICATION REPORT

TIME : 03/26/2002 15:15 NAME : FAX : TEL :

DATE, TIME FAX NO. /NAME DURATION PAGE(S) RESULT MODE

03/26 15:14 7033082840 00:00:34 02 OK STANDARD ECM

NOV 0 3 2000



UNITED STATES PATENT AND CONTROL P.C.

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspta.gov

CUSTOMER NUMBER:

21254

CORRESPONDENCE

ADDRESS:

MCGINN & GIBB, PLLC 8321 OLD COURTHOUSE ROAD **SUITE 200 VIENNA, VA 22182**

FAX:

703-761-2375

PHONE:

703-761-4100

E-MAIL:

Date Mailed: 10/25/2000

NOTICE OF CUSTOMER NUMBER RECORD CHANGE

The request to change the information associated with the above-identified Customer Number has been accepted by the Commissioner of Patents and Trademarks.

The Customer Number may be used to identify the correspondence address or "fee address" for, and/or the appointed practitioner(s) in, a United States patent application or patent. The correspondence address and registration numbers indicated on this notice reflect the current correpondence address and registration numbers associated with the above-identified Customer Number.

PRACTITIONER REGISTRATION NUMBER(S) ASSIGNED TO THAT CUSTOMER NUMBER:

34386, 37629.

Patents Electronic Business Center (703) 305-3028

PART 1 - ATTORNEY/APPLICANT COPY

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10/25/00



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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CUSTOMER NUMBER:

21254

CORRESPONDENCE

ADDRESS:

MCGINN & GIBB, PLLC 8321 OLD COURTHOUSE ROAD SUITE 200 VIENNA, VA 22182-3817 RECEIVED

NOV 2 7 2001

McGINN & GIBB, P.C.

FAX:

703-761-2375

PHONE:

703-761-4100

E-MAIL:

Date Mailed: 11/16/2001

NOTICE OF CUSTOMER NUMBER RECORD CHANGE

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The Customer Number may be used to identify the correspondence address or "fee address" for, and/or the appointed practitioner(s) in, a United States patent application or patent. The correspondence address and registration numbers indicated on this notice reflect the current correspondence address and registration numbers associated with the above-identified Customer Number.

PRACTITIONER REGISTRATION NUMBER(S) ASSIGNED TO THAT CUSTOMER NUMBER:

34386, 37629.

Patents Electronic Business Center (703) 305-3028

PART 1 - ATTORNEY/APPLICANT COPY

SHMISKS		.•	
Serial Number: \(\square\)	Attorney's Post Card Filing Reco	Filed On: 12/4/03	
Attorney's Docket Numb	er: Pate	ent Trademark	RECEIVED
Applicant's Name: Papers Filed Herewith:	· Applica	tion Filing Date:/_/_	DEC 0 9 2004 Technology Center 2600
Amendment	Request for Extension of Tim	e CPA Reques	it Icomology or the Icomology
Notice of Appeal	Appeal Brief (in triplicate)	Reply Brief	
☐ IDS	1449 Form w/ Document	rs Priority Document(s)	·
Assignment	Recordation Cover Sheet	Formal Drawings	
_	s Issue Fee Transmittal	Missing Part	
Other Conspor	dence Address Indec	nation Form Page	19
Fees Filed Herewith: \$_	Check Charge De	posit Account:	
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	Drawing Con	Ppear Brie	ef (in triplicate) Reply Brief Documents
	Other Corrections Fees Filed Herewith	pordence Addre	nsmittal Formal Drawings Missing Parts O
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PTO/SB/121 (05-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Address to:

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Commissioner for Patents P.O. Box 1450

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Alexandria, VA 22313-1450hnology Center 2600

Please reco	Please recognize the following address as the correspondence address:						
⊠ Cus	tomer Nu	ımber 21254					
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☐ Red	uest for	Customer Number (PTC	D/SB/125) subr	mitted herev	vith.		
in the following listed application(s) or patent(s) :							
Patent Nur (if appropri		Application Number	Patent Da (if appropr		U.S. Filing Date		
		10/642,481			August 18, 2003		
		10/642,203			August 18, 2003		
		10/042,180			January 11, 2002		
		29/115,445			December 15, 1999		
		09/084,779			May 27, 1998		
		09/289,640			April 12, 1999		
		09/425,247			October 25, 1999		
		09/435,839		•	November 8, 1999		
		09/545,867			April 7, 2000		
		09/573,959			May 19, 2000		
		09/598,477			June 22, 2000		
		09/598,479			June 22, 2000		
		09/611,342			July 6, 2000		
		09/616,672			July 14, 2000		
		09/705,781			November 6, 2000		
Typed or Printed Name	Sean M. Mo	eGinn, Esq., McGinn & Gibb, PL	LLC		nt or Patentee e of record of the entire		
Signature	-X	MUGG		interest.	Statement under 3.73(b) is enclosed.		
Date		2/4/03		<u> </u>	TO/SB/96) or Agent of record		
Address of signer: 8321 Old Courthous	se Road, Sui	te 200, Vienna, VA 22182-3817			34,386 (Reg. No.)		
•		ors or assignees of record of the ent required, see below *.	tire interest or their rep	presentative(s) an	e required. Submit multiple		
Total of	*Total offorms are submitted.						

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Zone / Route ID No.

Date Entered on Form 3982 ___/ __/__

Expiration Date ___/ __/__

Carrier/Clerk Endorsement ______

Change of Address for: Business

Date to Begin Forwarding Mail: 10/8/2003

Is This Move Temporary?: No

Business Name: McGinn Gibb PLLC

OLD ADDRESS

Urbanization Name : 'N/A'

Mailing Address : 1701 CLARENDON BLVD STE 100 City, State, ZIP+4 : ARLINGTON VA 22209-2700

NEW ADDRESS

Urbanization Name : 'N/A'

Mailing Address : 8321 OLD COURTHOUSE RD STE 200

City, State, ZIP+4: VIENNA VA 22182-3817

Signature of person who PREPARED this form:

Date Signed : $\frac{10}{Y}$

Name of Preparer : MS Annette B Skaltsounis

Daytime Phone : N/A Evening Phone

Email Address : N/A

OFFICIAL USE ONLY

Verification Endorsement [

Give this form to your letter carrier or mail it to the address below:

CHANGE OF ADDRESS ORDER ATTN: CARRIER ROUTE # C018 UNITED STATES POSTAL SERVICE 1101 WILSON BLVD STE 1 ARLINGTON VA 22209-9998

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N/A

1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hiroyuki SAITO

RECEIVED

DEC 0 9 2004

Serial No.: 09/616,672

Group Art Unit: 2666

Technology Center 2600

Filed:

July 14, 2000

Examiner:

Duong, Frank

For:

APPARATUS AND METHOD FOR DESIGNING COMMUNICATION PATHS

OF TREE STRUCTURE

Honorable Commissioner of Patents Alexandria, VA 22313-1450

DECLARATION OF MARY C. GIBB

Sir:

I, Mary C. Gibb, hereby declare as follows:

- I am Manager of the Docketing Department of the law firm of McGinn & 1. Gibb, PLLC.
- My duties as Manager of the Docketing Department require me to be 2. intimately familiar with how the firm handles the mail, facsimile, and courier packages which arrive at McGinn & Gibb, PLLC.
- Each business day (Monday-Friday), McGinn & Gibb, PLLC, receives a large 3. volume of correspondence from the U.S. Patent and Trademark Office (PTO). That correspondence relates to patent and trademark applications being handled by McGinn & Gibb, PLLC, and includes Office Actions. Generally, since McGinn & Gibb, PLLC, is located in Vienna, Virginia, PTO correspondence is received by the law firm within one or two business days after the PTO mailing date, although sometimes it takes longer. All mail is

Docket No. NE-1017-US/KM Application Serial No. 09/616,672 MOR.019 2

received in the Docketing Department, including PTO correspondence. The PTO correspondence is handled as follows.

- 4. An individual employed in the Docketing Department of McGinn & Gibb, PLLC, receives all pieces of PTO correspondence before they are opened. That same individual opens each piece of correspondence from the PTO one at a time.
- 5. As the PTO correspondence is opened, an entry is made by that same individual by hand, in a docket book, for each such piece of correspondence requiring a response to be provided to the PTO by a certain due date. For example, an Office Action must be answered within the response time specified therein (within one, two or three months of the mailing date), and so an entry would be made in the docket book on a due date for the required period of time for the Office Action and also six months after the mailing date of the Office Action (the non-extendable date). Attached as Exhibit A to my Declaration are copies of the pages of the docket book for items having a due date of December 19, 2003, January 19, 2004, and February 19, 2004 (one, two and three months from the alleged mailing date of the Office Action), as well as that of May 19, 2004 (six months, the last and non-extendable date). As can be seen from Exhibit A, there is no entry for the above-identified application, indicating that the Office Action in question was never received by McGinn & Gibb, PLLC.
- 6. Once the PTO Office Action received on a given date has been manually entered in the docket book as above described, the appropriate file for the PTO Office Action is pulled and matched up to that Office Action. The appropriate file and the PTO Office

3

Docket No. NE-1017-US/KM Application Serial No. 09/616,672 MOR.019

Action is then given to the secretary to the attorney responsible for handling the file. The secretary then enters the PTO Office Action and the response due date in the secretary's docket book and on the front cover of the application file jacket.

- 7. On a weekly basis, a docket of cases due that week and the pertinent response due dates is given to each attorney and staff employee at McGinn & Gibb, PLLC. This docket is then double-checked with the docket maintained by the secretary. I have reviewed these docket lists, and there is no entry for the above-identified application. Attached as Exhibit B to my Declaration are copies of the lists of the submitted cases due the weeks of December 14-20, 2003, January 14-21, 2004, and February 15-21, 2004, and May 16-22, 2004. As can be seen from Exhibit B, there is no entry relating to a PTO mailing of an Office Action in connection with the above-identified application.
- 8. Further, Exhibit C is a copy of the front cover of the file jacket of the subject application. It should be noted that the file jacket front cover shows the chronological sequence of correspondence between the law firm and the PTO. The left side of the front cover is filled in by the Attorney's secretary as Actions are received and docketed. The right side is filled in by the Attorney's secretary as the Actions are completed. As shown, there is no evidence showing an Office Action was ever received.
- 9. Based on my review of Exhibits A-C, and on my personal knowledge of the procedures followed by McGinn & Gibb, PLLC, in the Docketing Department for handling PTO Office Actions, I conclude that no Office Action was received by McGinn & Gibb, PLLC, for the above-identified application.

4

Docket No. NE-1017-US/KM Application Serial No. 09/616,672 MOR.019

10. To date, the Notice of Abandonment allegedly mailed on September 3, 2004, has not been received by McGinn & Gibb, PLLC.

Further Declarant saith not.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: December 3, 2004

Mary C. Gib

Manager, Docketing Department

McGinn & Gibb, PLLC 8321 Old Courthouse Rd., Suite 200 Vienna, VA 22182-3817 (703) 761-4100

Customer No. 21254

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DOCKET December 14-20 2003

Please remember to compare this with your personal docket! Let us know if you have any changes or additions.

DUE DATE	<u>FILE</u>	<u>COMMENTS</u>	
Sunday, 12/14/03	HIR.024DIV	Response (1 mo.)	SMM
	IGA.011	Final (4 mo.)	FEC
	HIR.040	Final (4 mo amended 11/14)	JEH
Monday, 12/15/03	NIS.040	IF & Pub. fee (last day)	SMM
	YOR.144	Response	SMM
	YOR.506	2 X	SMM
,	HOV.001	Response	PEM
	NGB.191	IF & Pub. fee (last day)	PEM
	FUЛ.021	Final (4 mo amended 11/17)	FEC
	NIS.048	IF & Pub. fee	FEC
	KUW.032	IDS	JEH
	NGB.086	Response	JEH
	WAK.110	Response	FJZ
		•	
Tuesday, 12/16/03	YOR.250	Final (5 mo. amended 9/16 & 11/17)	JEH
	HIR.060	IF (last day)	FJZ
	SAI.016	Response	JEH
	LEN.005	NOMP	JND
Wednesday, 12/17/03	UNI.021	Final 2 X (5 mo.)	PEM
	YOR.396	Response	FEC
	NGB.224	IF (last day)	PEM
	FUJIT.025	Response	PAB
	HIR.076	IDS	SMM
Thursday, 12/18/03	YOR.384	PCT?	JEH
	UNI.022	Final 3 X (last day)	FJZ
	YOR.181	Final (5 mo. amended 11/17)	PEM
	NGB.069	Final (4 mo. amended 11/18)	JEH
	SHI.011	Final (3 mo. amended 12/5)	JEH
	YOR.177DIV	Response (1 mo.)	SMM
	YOR.129	Final (4 mo. amended 11/7)	SMM

DUE DATE	FILE	COMMENTS	ATTY
Friday, 12/19/03	ORI.062	IF	SMM
• •	EBI.006	IF	SMM
	EBI.005	IF	SMM
	EBI.003	IF	SMM
	EBI.004	IF	SMM
	YAS.031	IF	JEH
Saturday, 12/20/03	WAK.065	Final (4 mo. amended 11/20)	PEM
	WAK.068	IDS	FEC
•	KATO.030	Response omitted items	SMM
	ONO.009	Response (1 mo.)	JND
	HIR.039	Stmt. of Sub. Int.	FEC
	YOR.447	IDS	SMM

January 14-21, 2004

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Add entries docketed after this docket is distributed.

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DUE DATE	<u>FILE</u>	COMMENTS	ATTY
Wednesday, 1/14/04	AMA.005DIV	Final (3 mo.)	PEM
	HAR.006	Response	FEC
	MAT.007	Response	FEC
	ORI.011	IF & drawings	JEH
	SHI.038	IDS	JEH
Thursday, 1/15/04	NEC.195	IF	SMM
	HIR.057	Response	PEM
	FUJI.021	Final (5 mo amended 11/17)	FEC
	YOR.450	Response	FEC
	KUW.019DIV	IDS	PAB
	NGB.086	1 X	JEH
	WAK.091	IF & drawings	FJZ
	LEN.001	Response	JND
	YOR.374	Response	JJD
Friday, 1/16/04	ORI.058	Response	SMM
	IGA.024	Final (3 mo.)	PEM
	SHI.040	Response	JND
Saturday, 1/17/04	NGB.076	Final (3 mo.)	PEM
	UNI.021	Final 3 X (last day)	PEM
Sunday, 1/18/04	YOR.181	Final (last day - amended 11/17) Stmt. of Subs. of Interview	PEM
	NGB.069	Final (5 mo amended 11/18)	JEH
	SHI.011	Final (4 mo amended 12/5)	JEH

DUE DATE	FILE	COMMENTS	ATTY
Monday, 1/19/04,	YOR.082DIV	Final (2 mo.)	PEM
	BUR.089	IF & Drawings	PAB
	ORI.050	IF	JEH
	FUS.023	Stmt. of Subs. of Interview	FJZ
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Tuesday, 1/20/04	FUЛ.024	IF 	SMM
	MAR.082	IF	SMM
	NGB.139	Response	PEM
	WAK.065	Final (5 mo.)	PEM
	HIR.039	Stmt. of Sub. of Int. 1X	FEC
	NEC.115	IF	FEC
	NGB.070	IF (last day)	JEH
	WAK.087	IF	FJZ
	IDEA.001	Response	JND
Tuesday, 1/20/04 Issue Date:	FUЛ.015 6,681,0 NGB.088 6,679,4 NGB.133 6,679,9		PEM
Wednesday, 1/21/04	FUJIT.014DIV	Response	SMM
	NEC.165	IF & drawings? (last day)	SMM
	NEC.265	IF (last day)	SMM
	USH.021	IF (last day)	SMM
	YOR.174	Response	SMM
	YOR.345PCT	19 mos.	SMM
	DIN.001	NCAP	PEM
	NEC.092DIV	IF	PEM
	USH.014	IF (last day)	PAB
	ORI.019	IF	JEH
	ITO.004	IF (last day)	FJZ
	NIS.037DIV1	IF (last day)	FJZ
			

DOCKET February 15-21, 2004

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DUE DATE	<u>FILE</u>	<u>C</u>	COMMENT	<u> </u>		<u>ATTY</u>	
Sunday, 2/15/04	HAR.016	R	esponse (2	mo.)		PAB	
Monday, 2/16/04	KAT.045	1	DS (3 mo. N	OMP recd?)	SMM	
	NGB.294	I	DS 1 X (3 m	o. NOMP r	ecd?)	SMM	
	ORI.058	1	X			SMM	
	MAR.019	Ι	F			JEH	
Tuesday, 2/17/04	HAR.021	R	lesponse			SMM	
	NEC.200	I	DS			SMM	
	ORI.048	Ι	DS			SMM	
	TAK.041	I	IF & Drawings Final (2 mo.)			SMM	
	YOR.072	F			SMM		
	NGB.076	F	inal (4 mo.	- amended 1	/7)	PEM	
	NGB.182	F	inal (3 mo.))		PEM	
	YOR.393	F	inal (2 mo.)	•		PEM	
	YOR.057	F	Final (2 mo.)		FEC		
	NGB.187	P	Response			PAB	
	RYU.008	F	Response			JEH	
Issue Date:	FUЛ.030	6,693,303		NGB.231	6,694,119	JEH	
	KUD.037 NGB.070	6,693,682 6,692,152		YAS.031	6,694,414	JEH	
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	NGB.077	I	F (Drawings	s?)		SMM	
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	SAI.029	F	Response			PEM	
	MAR.040	F	inal (3 mo.	amended 2/0	6)	FEC	
	NGB.147	F	Response			FEC	
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DUE DATE	FILE	COMMENTS	<u>ATTY</u>
^ 17 .4	FUJIT.037	IF (last day)	PAB
	GOT.059	Final (3 mo.)	JEH
	YAM.039	Final (3 mo.)	FJZ
	NGB.117	Response	JND
Thursday, 2/19/04	YOR.082	Final (3 mo. amended 1/19 & 21)	PEM
	YOR.468	NOMP	PEM
	FUJIT.023	Response	FEC
	EDU.174	??	JND
Friday, 2/20/04	ORI.028	Response	SMM
	YOR.213	Response	SMM
	WAK.065	Final (last day)	PEM
	FUK.015	IF & drawings	FEC
	WAK.063DIV	Response	FJZ
	MAR.052	Response	JND
Saturday, 2/21/04	KUD.038	Response	SMM
	TAK.044	Response (1 mo.)	SMM
	YOR.345PCT	20 mo.	SMM
	BUR.095	Response	FEC
	WAK.097	Final (3 mo. amended 2/10)	PAB
	ONO.005	Response (1 mo.)	JND

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DOCKET May 16-22, 2004

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DUE DATE	<u>FILE</u>	COMMENTS	<u>ATTY</u>
Sunday, 5/16/04	NGB.344	IDS (3 mo. NOMP?)	SMM
	NGB.101	Final (4 mo. amended 4/19)	JEH
	NGB.121	Final (4 mo. amended 4/7)	JEH
	ONO.016	Final (5 mo. amended 4/15)	JND
Monday, 5/17/04	IDE.003	IDS translation	SMM
	NEC.201	Response	SMM
	NGB.362	IDS (3 mo. NOMP?)	SMM
	NGB.142	Final (5 mo. amended 3/17 & 4/19)	PEM
	YAS.026	Final (3 mo.)	PEM
	ALM.024	Response	PAB
	KUW.032	Response	JEH
	RYU.008	3 X (last day)	JEH
	AMA.041	Response	JND
	KUW.028	Response	SMT
•	NGB.200	Response	SMT
Tuesday, 5/18/04	NEC.249	IF (last day)	SMM
	UDA.018	IF	SMM
	FUS.054	IF	PEM
	NIS.016	IF	PEM
	NGB.144	Response	PAB
	GOT.059	Final (last day amended 2/18)	JEH
	YOR.384	Due 7/18	JEH
	NGB.168	Response	SMT
Issue Date:	NGB.081	6,738,586 SMM FUS.050 6,736,549	FJZ

v .		COMMENSES	A TOTAL
DUE DATE	FILE	COMMENTS	<u>atty</u> SMM
Wednesday, 5/19/04	GOT.081	IDS	
	IDEA.001CONT	IF	SMM
	NGB.366	IDS (3 mo. NOMP?)	SMM
	NGB.177	Interview Summary	PEM
	ONO.001	IF (last day)	JND
	YOR.094	Response	JJD
Thursday, 5/20/04	HIR.087	IDS (3 mo. NOMP?)	SMM
	ORI.053	IDS	SMM
	WAK.020	IF & drawings	SMM
	HIR.039	Response	FEC
	MAR.040	IF	FEC
	KIT.010DIV	IF (last day)	JEH
	MAR.055	Correction (last day)	JEH
	ONO.018	Response (1 mo.)	JND
	NGB.130	Response	JJD
Friday, 5/21/04	FUJIT.001	Response (1 mo.)	SMM
	GUA.001	New app due 8/21	SMM
	HIR.047	IF	SMM
	UNI.035	Response (1 mo.)	SMM
	HAR.016	IF	PAB
	ONO.020	IF	JND
0 1 5/00/04	DEC 011	Danis	DEM
Saturday, 5/22/04	DEC.011	Revive	PEM
	DEC.032	Response due 6/22	PEM
	NGB.161	Final (4 mo. amended 4/20)	PAB

MOK. 017 NE-1017-45/KM

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